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Saliva and flavor perception: perspectives

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1 **ABSTRACT**

2 This paper reports the main trends and perspectives related to the current 3 understanding of the relationships between saliva and flavor perception. Saliva is a key 4 factor in flavor perception and controls the transport of flavor molecules to their 5 receptors, their adsorption onto the mouth surfaces (i.e., oral mucosa), their metabolism 6 by enzymatic modification and the friction force in the oral cavity. The proteins in free 7 saliva or in the mucosal pellicle contribute to flavor perception by interacting with or 8 metabolizing flavor compounds. Most of these reactions were observed when using 9 fresh whole saliva; however, they were absent or less frequently observed when using 10 artificial saliva or depleted/frozen whole saliva. There is a need to better understand the 11 role of protein aggregates in flavor perception. Within humans, there is great inter-12 individual variation in salivary composition, which has been related to differences in 13 flavor perception. However, the relative role of salivary proteins and the microbiota 14 should be deeply investigated together with the impact of their composition on 15 individual perception during life. Finally, future results must also consider cross-modal 16 interactions at the brain level.

17

18 **KEYWORDS**

19 Saliva, flavor compounds, flavor perception, metabolism, astringency, taste, salivary 20 proteins

21

23 **INTRODUCTION**

24 The aim of this perspective paper is to highlight future trends in the effect of saliva on 25 flavor perception based on previous review articles on the impact of saliva on aroma 26 and flavor perception $1/2$. The paper focuses on flavor, which includes the stimulation of 27 taste buds, the olfactory organ and trigeminal receptors within the oral cavity by 28 chemicals, according to the American Society for Testing and Materials (ASTM). 29 Therefore, it does not cover the role of saliva on food structure breakdown, bolus formation or texture perception, as reviewed by Mosca and Chen and Guichard et al. ^{3, 4}. 31 Flavor is the main sensation perceived during eating. It fulfils a crucial function for the 32 organism by tasting and evaluating the quality of ingested food, which leads to further 33 acceptance or rejection of the food. Flavor is composed of several sensory modalities: 34 taste, retro-olfaction and trigeminal sensation. Flavor perception results from the 35 activation of receptors located in the mouth and in the nose (Figure 1A). Depending on 36 their structure and properties, flavor compounds bind to chemoreceptors in the mouth 37 (taste and trigeminal receptors) and in the nose (olfactory receptors) or increase the 38 friction force at the surface of the oral mucosa, leading to the activation of 39 mechanoreceptors. The pattern of flavor compounds activating the receptors generates 40 a specific nervous signal processed both locally and centrally in the brain, allowing for 41 an immediate categorization and recognition of the sensory image of a particular food.

42 As food is never in direct contact with flavor receptors, and as flavor active molecules 43 must be released and transported for perception, this sensory image depends on the 44 initial composition of the food, the release of flavor compounds in the mouth, their 45 transport up to the receptors and their adsorption onto mucosal surfaces ⁵. Taste 46 receptors are embedded in taste buds, which present a small orifice, allowing for 47 communication with the oral cavity and the entrance of saliva (Figure 1C). Saliva bathes

48 taste receptors present in the mouth. Therefore, the affinity of flavor compounds for 49 saliva (i.e., the dissolution) and salivary components (i.e., molecular interaction, 50 enzymatic degradation) will impact their access to receptors. Regarding trigeminal 51 receptors, they are embedded in the epithelium of the oral mucosa and are not directly 52 bath by saliva. Therefore, their access is under the compound property to diffuse 53 through both saliva, from food to the surface of the mucosa, and the epithelium of the 54 oral mucosa ⁶ (Figure 1E). Moreover, a thin layer of salivary proteins, called the mucosal 55 pellicle, covers the epithelium and thus controls also the access of the epithelium of the 56 oral mucosa (Figure 1E). Trigeminal sensations also result from the activation of 57 mechanoreceptors, which are also located in the mucosa. They respond to mechanical 58 pressure or distortion of the friction force in the mouth, which depends on the 59 lubrication of the oral cavity ⁷. Saliva and some salivary proteins, such as mucins, 60 glycosylated proline-rich proteins or salivary proteins present in the mucosal pellicle, 61 fulfill important roles in the lubrication of the mouth. Olfactory receptors are located in 62 the nasal cavity, and the perception of aroma compounds therefore requires their 63 release into the mouth and their transport to the receptors via the retro-nasal cavity. 64 During eating, aroma compounds are released directly from food to the air but also from 65 food to saliva, which quickly impregnates the food matrix, and then to the air phase. 66 Partition coefficients between saliva and air control the quantity of aroma released into 67 the air phase. Moreover, aroma compounds are likely to adsorb onto the mucosal pellicle *⁸*. 68

69 Saliva controls flavor release, the transport of flavor molecules to their receptors, their 70 adsorption onto the mouth surfaces (i.e., oral mucosa), their metabolism by enzymatic 71 modification and the friction force in the oral cavity and therefore appears as a key 72 parameter in flavor perception.

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74 **Saliva, composition and secretion**

75 Saliva is a complex mixture resulting from secretion of the major (submandibular, 76 sublingual and parotid) (90%) and minor (10%) salivary glands together with the 77 crevicular fluid. Salivary flow and composition depend on the physiological conditions 78 (rest or stimulation, nature of stimulation), which impact the contribution of the 79 different glands, each one secreting a fluid with a different composition. The flow and 80 composition of the fluids secreted by the different glands also rely on endogenous 81 (circadian rhythms, age, gender, several disease states) or exogenous factors (diet and 82 pharmacological agents)⁹. Saliva is mainly composed of water, salts and proteins, but it 83 also contains microorganisms, cellular debris and food residues. The concentration of 84 salivary proteins is generally between 1 and 2 mg/mL⁹. Regarding protein composition, 85 almost 3000 proteins and peptides have been identified in saliva ^{10, 11}. These numerous 86 proteins fulfil several functions in saliva, such as protection against microorganisms, 87 mineralization of teeth, lubrication of the oral cavity, scavenging of harmful molecules, 88 initiating digestion, and transport of flavor molecules. Many of these proteins are free in 89 saliva, while some are specifically anchored onto in-mouth surfaces. As a result, a thin 90 film of salivary proteins, called the mucosal pellicle, covers the oral mucosa ¹². A 91 previous study tried to reconstitute the mucosal pellicle on a synthetic surface and 92 found that the pellicle is composed of two layers of proteins. The first layer is composed 93 of proteins anchored onto the synthetic surface. These proteins facilitate the binding of 94 other salivary proteins that form the second layer 13 . Recently, another group 95 reconstituted the mucosal pellicle onto the surface of the TR146 epithelial buccal cell 96 line and showed that the expression of a transmembrane mucin, MUC1, facilitates the 97 anchoring of salivary proteins ¹² (Figure 1D). Therefore, different mechanisms occur

98 during the formation of the mucosal pellicle, involving non-specific adhesions of some 99 proteins onto hydrophobic surfaces, while other proteins are anchored through specific 100 protein-protein non-covalent interactions. The mucosal pellicle controls the 101 physicochemical properties of the mucosa surface and fulfils a role in the protection and 102 lubrication of the oral cavity.

103

104 **Impact of saliva on trigeminal sensation**

105

106 Astringency is probably the sensation for which the interactions between astringent 107 compounds and saliva have been the most extensively studied. Astringency is a 108 trigeminal sensation that is generally classified as tactile and is described as a drying-109 out, roughening and puckery sensation felt in the mouth. It is generally felt during the 110 consumption of plant–based foods, such as red wines, teas as well as some fruits. 111 Tannins are the main molecules at the origin of this sensation. They are plant secondary 112 molecules and belong to the structural class of polyphenols. They are known for their 113 ability to bind and precipitate proteins. However, the molecular mechanisms by which 114 tannins generate the astringency sensation remain unclear and could result from the 115 activation of either trigeminal chemoreceptors or trigeminal mechanoreceptors. 116 Regarding the involvement of chemoreceptors, it has been reported that tannins and/or 117 their products of oxidation can activate different types of rat trigeminal transient 118 receptor potential (TRP) in two different cell lines $^{14, 15}$. However, to our knowledge, the 119 activation of trigeminal TRPs by astringent compounds has not been reported in either 120 humans or in human cell lines. Moreover, trigeminal free nerve endings are mainly 121 located below the multilayered squamous epithelium of the buccal mucosa, and nerve 122 endings approaching the surface are actually rare ϵ (Figure 1 F). If hydrophobic 123 molecules can diffuse through the multilayered squamous epithelium of murine buccal 124 mucosa ⁶, it is unlikely that tannins can access the TRPs as they have been reported to 125 bind to cell membranes ¹⁶. The tactile origin of astringency requires the activation of 126 mechanoreceptors. This activation is likely due to modification of the force friction at 127 the surface of the oral mucosa. Two main hypotheses have been proposed to explain 128 such changes. One hypothesis postulates that the precipitation of salivary proteins 129 reduces the lubricating properties of saliva, leading to an increase of the friction force in 130 the oral cavity ¹⁷ (Figure 1 F). Salivary proline-rich proteins (PRPs) are particularly 131 prone to interact with tannins ¹⁸ and therefore could be predominantly involved. In 132 agreement with this hypothesis, it has been observed that the aggregation threshold of a PRP by the tannin epigallocatechin gallate (EgCG) is close to its astringency threshold *¹⁷* 133 . 134 The second hypothesis proposes that this sensation is due to the direct interaction of 135 tannins with the mucosal pellicle, leading to the loss of its lubricating properties and an 136 increase of the friction force at the surface of the oral mucosa 19 (Figure 1 F). In this 137 second hypothesis, PRPs play a protective role and prevent the sensation of astringency 138 through binding and scavenging of tannins as they can wrap around tannins after 139 structural rearrangement 20 . This hypothesis is supported by the observations that 140 saliva is not required to induce the perception of astringency of tea in human subjects 141 after mouth rinsing with water solution, while the presence of saliva in the mouth 142 decreases the perceived astringency $2¹$. Moreover, it has been shown that the 143 aggregation of the mucosal pellicle by tannins leads to an increase of the friction force, 144 while the presence of the PRP, and the IB5 protein, precludes this aggregation ²². Future 145 research is required to link this observation to the threshold of astringency sensation. It 146 could be particularly interesting to compare the ability of different salivary proteins to 147 protect the mucosal pellicle. Indeed, PRPs are not the only proteins capable of 148 interacting with tannins. For instance, histatins constitute another major group of 149 tannin-binding salivary proteins (TBSPs). It has been hypothesized that the level of 150 secretion of TBSPs in the saliva of mammals is linked with the tannin content of their 151 natural diet ²³. Animals with a diet high in tannins have developed high levels of TBSPs, 152 while those with low tannin contents produce little or no TBSPs. However, the presence 153 of TBSPs may have originated from different evolutionary processes; therefore, there is 154 a need to characterize TPSPs in each species. This information should help to gain a 155 better understanding of the impact of salivary protein composition on astringency 156 sensitivity. Furthermore, new methodologies are needed to measure *in vivo* friction 157 forces and to link them with the perception of astringency and the composition of saliva. 158 This information would enable validation of the tactile dimension of astringency 159 sensation. However, this does not rule out the possibility of the involvement of 160 chemoreceptors. It is of importance to clarify which tannins receptors are activated and 161 how saliva composition can modulate their activation, as protein-tannin affinity and 162 precipitation depend on protein structure $20, 24, 25$. Thus, the composition of saliva is 163 likely to affect the amount of tannins available to interact with receptors. Indeed, 164 microbial and salivary secreted enzymes may also contribute to the biotransformation 165 of tannins to facilitate their elimination.

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167 **Impact of saliva on taste perception**

168 To our knowledge, Henle is the first author to introduce the importance of saliva and its 169 composition in taste perception ²⁶ through the concept that taste threshold depends on 170 the taste receptor milieu and thus on the basal concentration of tastants contained in 171 saliva. Some salivary compounds can continuously stimulate taste receptors, leading to 172 an adaptive mechanism impacting taste sensitivity 27 . For example, the taste detection 173 threshold for NaCl is slightly above the salivary sodium concentrations to prevent 174 continuous stimulation of the taste receptor ²⁷. Therefore, interindividual variability in 175 salt perception is, at least in part, due to different salt concentrations in individuals' 176 saliva.

177 A study recently investigated if such an adaptive mechanism linking the basal 178 concentration of tastant in saliva and the sensitivity occurs in fat perception ²⁸. Indeed, it 179 has been reported that saliva has lipolytic activity, leading to the hydrolysis of 180 triglycerides and the release of free fatty acids ²⁹ that could be detected by fat receptors 181 (e.g., CD36, GPCR120). Therefore, it has been suggested that fat could be the sixth taste. 182 Authors reported a correlation between the basal concentration of free fatty acids in 183 saliva and the lipolytic activity of saliva ²⁸. Further research is needed to determine if 184 this basal concentration in saliva is correlated with sensitivity to fat. While rats secrete a 185 salivary lipase, its presence in humans has not been reported. Salivary lipolytic activity 186 could result from several lipases ³⁰, although their involvement still needs to be 187 confirmed.

188 It has also been hypothesized that saliva can dilute taste stimuli and decrease taste 189 intensity, especially when high salivation occurs during mastication. A negative 190 correlation was shown between salt perception and salivary flux 31 , no correlation was found for bitterness 31 or sweetness 31 , and contradictory results have been reported for 192 sourness ³¹. It should be noted that the dilution effect of saliva on perception is difficult to evaluate, as human taste receptors easily adapt to taste stimulation ²⁷.

194 In addition to dilution, the buffering capacity of saliva, which can decrease the amount of H^+ ions present in saliva, has also been reported to decrease the response to acid 196 stimulation ²⁷, although that the concentration of organic acids, and therefore the 197 titratable acidity, contribute also to sourness ^{32, 33}.

198 Interactions between tastants and salivary proteins are also likely to occur and to affect taste perception *³⁴* 199 . Several studies have explored the relationship between salivary 200 composition and taste perception ²⁷. In recent decades and since the advent of 201 proteomics, this field of research has been the subject of growing interest. Interestingly, 202 two research groups recently suggested that the proteolytic activity of human saliva 203 plays a role in the perception of bitter, fatty, and salty stimuli 29,34,35 . Thus, a study on 204 the interindividual variation in the sensitivity to bitterness suggested that enhanced in-205 mouth proteolysis is a key perireceptor factor associated with higher gustatory 206 sensitivity ³⁵. The authors hypothesized that the mucosal pellicle forms a barrier that 207 controls the accessibility of tastants to the receptors. A thinner or looser pellicle due to 208 higher proteolytic activity would then be associated with a facilitated tastant•taste receptor interaction *³⁵* 209 . Regarding salt perception, two hypotheses have been proposed 210 to explain the link between sensitivity and proteolytic activity ³⁴. The first hypothesis 211 proposes that trypsin may facilitate transepithelial sodium transport through the 212 endoprotease-catalyzed cleavage of the amiloride-sensitive epithelial sodium channel's γ-subunit *³⁴* 213 . The second hypothesis postulates that the degradation of salivary proteins leads to the release of salt-taste enhancing peptides *³⁴* 214 . In favor of the second hypothesis, 215 a tetrapeptide PLWR, resulting from trypsin activity, was found to elicit salty taste-216 enhancing activity ³⁴. Further research must be conducted to determine to what extent 217 the endoprotease-catalyzed salt taste enhancement is due to an in vivo release of salt taste-modulating peptides or facilitated transepithelial sodium transport *³⁴* 218 . The 219 abundance of lysozyme C and lipocalin-1 (LCN1) was reported to be indicative of non-220 sensitive subjects 34 , while the molecular mechanism is unclear.

221 Lipocalin-1 has also been suggested to be involved in fat perception (Figure 1H). Free 222 fatty acids are not soluble in aqueous media, such as saliva; therefore, they need to be 223 carried to reach their receptors. A potential candidate to transport free fatty acids is 224 LCN1, which can also transport hydrophobic bitter compounds, such as quinine ²⁷. LCN1 225 presents a hydrophobic pocket, allowing the binding and transport of bitter compounds, 226 including fatty acids ³⁶. However, no homologous proteins have been identified in the 227 van Ebner's Glands of mice, guinea pig or cows ²⁷. The function of this protein, which is 228 secreted in human saliva close to the taste receptors, remains to be clarified. Another 229 hypothesis is that this protein could play a scavenging function of the tastants. Such a 230 function could allow evasion of an adaptive mechanism and could maintain a higher 231 sensitivity of taste perception.

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233 **Impact of saliva on aroma perception**

234 To be perceived, aroma compounds must be released into the air phase. Most studies 235 have focused on aroma release, and few relate directly to saliva composition and aroma 236 perception.

237 Taylor and co-workers were the first to introduce the concept that different mechanisms 238 can impact aroma release in the mouth δ (Figure 1G). The first approach to probe the 239 impact of saliva on aroma release was to use artificial saliva composed of salt and mucin 240 *³⁷* with or without α-amylase ³⁸. Decreased release of aroma compounds depending on 241 their structure was observed ^{37, 38}. This observation was attributed to non-covalent 242 interactions occurring between mucin and aroma compounds ³⁷. While one study failed 243 to identify the type of non-covalent interactions, the number of binding site or the 244 protein domain involved ³⁷, another study probing the effect of mucin and α -amylase on 245 the release of linear ketones and esters suggested the involvement of hydrophobic 246 effects with both proteins ³⁹. The use of artificial saliva was justified by a study reporting 247 no significant difference between aroma release in the presence of human and artificial saliva *³⁷* 248 . The artificial saliva spread largely into *in vitro* approaches aiming at probing 249 the impact of saliva on aroma release. However, other studies revealed that saliva can 250 metabolize aroma compounds ³⁹⁻⁴¹. Important inter-individual variability in the impact 251 of saliva on aroma release $41, 42$ and aroma perception 43 has also been reported. These 252 two observations demonstrate that artificial saliva, composed of only mucin and alpha-253 amylase, does not properly mimic human saliva. Therefore, the results obtained with 254 artificial saliva should be taken with precaution, and future research should use human 255 rather than artificial saliva.

256 Another important aspect concerns the treatment of human saliva and its conservation. 257 Many studies using human saliva centrifuge saliva in order to remove aggregates, food 258 debris and microorganisms. Muñoz-González *et al.* compared the impact of whole saliva 259 and centrifuged saliva on aroma release 41 . They found that centrifugation tends to 260 reduce saliva's effect and its inter-individual variability. Observations of a difference 261 between whole saliva and centrifuged saliva suggest that some salivary compounds are 262 lost during this treatment. Indeed, centrifugation leads to the separation of particles 263 depending on their size and density. The larger the size and the larger the density of the 264 particles, the faster they separate from the supernatant and form a precipitate (pellet) 265 during centrifugation. Thus, the centrifugation of saliva leads to the loss of some salivary 266 objects, such as microorganisms and protein aggregates. Indeed, Oppenheim described 267 the presence of large aggregates composed of different salivary proteins in saliva ⁴⁴. 268 These aggregates could contribute to the loss of salivary effects observed by Munoz *et al.* 269 Therefore, it is important to gain a deeper understanding of the structure of these 270 objects and their impact on flavor release.

271 Moreover, in the mouth, saliva is continuously renewed, while this process is stopped 272 after the saliva is collected. Consequently, when saliva is outside the mouth, the

273 physicochemical parameters, such as pH, are likely to change, altering the structure and 274 the activity of some enzymes. For example, the glutathione transferase P1 (GSTP1) 275 present in human saliva is inactivated by hypothiocyanite when the saliva is not 276 renewed. Hypothiocyanite is an antimicrobial molecule naturally occurring in saliva ⁴⁵. 277 Consequently, different parameters, such as salivary flow or the level of secretion of 278 hypothiocyanite, regulate GSTP1's enzymatic activity. Moreover, GST enzymes were 279 previously shown to metabolize aroma compounds in rats ⁴⁶. Furthermore, the activity 280 of human salivary GSTs (GSTA1, GSTP1, GSTMu1 and GSTMu2) toward aroma 281 compounds has yet to be investigated.

282 It is likely that freezing leads to the denaturation of proteins and the formation of non-283 native protein aggregates, while centrifugation depletes saliva from its larger objects. 284 Therefore, saliva treatments (e.g., centrifugation, freezing) are likely to affect the 285 structure, quantity, properties and enzymatic activity of salivary proteins and have to be 286 discussed when used in regard to the obtained results. Moreover, it is important to 287 develop future studies using fresh and whole saliva as much as possible rather than 288 depleted and frozen saliva.

289

290 As there are great interindividual differences in saliva composition between humans, 291 investigations have to be done to gain a deeper understanding of the effect of this 292 interindividual variability on aroma release and perception, taking into account both the 293 composition and properties (e.g., the total antioxidant capacity) of saliva and the 294 potential role of the microbiota. Indeed, two different studies found a correlation 295 between the total anti-oxidant capacity of saliva and the decrease in aroma release ^{41, 42}. 296 One of these studies reported that salivary enzymatic activity was at the origin of the 297 observed decrease 41 . This finding suggests that the salivary enzymatic degradation of

298 aroma compounds is under the control of the redox of saliva. Beside enzymatic activity, 299 redox reactions control in-mouth lipid oxidation, which generates volatile compounds, 300 such as aldehyde or esters ⁴⁷. Interindividual variability in this reaction was also 301 . observed ⁴⁷. Lipid oxidation is also under the control of the metallic ion content of saliva, 302 while the addition of antioxidants decreases the reaction ⁴⁷. Therefore, different 303 reactions (i.e., enzymatic and chemical) led to the formation of aroma compounds, which 304 were not present in the initial composition of the food. Following these pioneer studies, 305 further investigations should be performed to determine the impact of these 306 mechanisms on flavor perception and the regulatory role of the total antioxidant 307 capacity of saliva. This information could help in the development of new food products 308 containing active antioxidant compounds, which could modulate these different 309 reactions and ultimately the perceived flavor.

310

311 A part of the salivary protein is specifically anchored onto the surface of the oral 312 mucosa, forming a biological structure called the mucosal pellicle. It contains salivary 313 proteins, such as amylase, IgA, cystatins, carbonic anhydrase IV, secretory components 314 or mucins ¹². Among these salivary proteins, MUC5B has been identified as a major 315 . component 12 . Despite the suggestion that this structure can impact aroma release, 316 particularly, aroma persistence $8, 48$, there are surprisingly no data to validate this 317 hypothesis. A model of the oral mucosa taking into account the mucosal pellicle was 318 recently developed. This model revealed that epithelial cells are able to metabolize 319 aroma compounds, while the role of the mucosal pellicle was clear ⁴⁹. Nevertheless, it 320 appears that its hydrated nature plays an important role in aroma release.

321

322 To conclude, eating is a dynamic process during which flavor compounds are released, 323 dissolved, metabolized and transported following different kinetics as a function of their 324 structure. The dynamics of these mechanisms are largely impacted by the composition 325 of saliva and affect the dynamics of receptor activation. Moreover, integrative brain 326 processes, such as adaptation and cross modal interactions, occur at the same time, 327 which renders it difficult to find a direct correlation between flavor release and 328 perception. As an example, during cheese consumption, a low salt content in saliva will 329 increase salt perception and, as a consequence, the perception of salt-congruent aroma 330 compounds, and a high lipolytic activity will increase fat perception and, as a consequence, the perception of fat-congruent aroma compounds 43 . Thus, there is a need 332 to conduct multidisciplinary studies combining in-mouth processes and multisensory 333 integration.

334 It is also important to develop new methodologies deciphering the respective 335 contributions of these different mechanisms and their origins (salivary proteins or 336 microbiota). These studies should be accompanied by further studies on the 337 interindividual variability of saliva properties (e.g., antioxidant capacity) and the impact 338 on aroma compounds. These studies will help to understand the role of saliva in inter-339 individual perception variability and also how changes in saliva composition modulate 340 individual perception during life.

341

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- 498 Legends:
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- 500 Figure 1: A. Global view of oral mechanisms involving saliva; B. Structure of
- 501 circumvallate papillae; C. Structure of taste bud; D. Structure of the epithelium of the
- 502 oral mucosa including the mucosal pellicle; F. Hypotheses on astringency mechanisms;
503 G. Hypotheses on the impact of saliva on aroma release; H. Hypothesis on the
- G. Hypotheses on the impact of saliva on aroma release; H. Hypothesis on the
- 504 mechanisms involved in fat perception.

508 TOC Graphic for table of contents

